

## Strategic Risk Report (SLT)

Risk Ref	Risk	Uncontrolled Risk	Action Required (In progress Only)	Control Owner Review Date Target Date	Current Risk Score	Controlled Risk Assessment for Financial Year	Comments
ORG0043	<p><b>Risk Description:</b> Strategic Risk 2016: Maintain a sustainable budget: Reserves will not be sufficient to manage any in-year overspends for the forthcoming financial year 2018/19. That we don't set a balanced budget for 2019/20</p> <p><b>Cause:</b> Unforeseen expenditure and overspends exceed the planned provision</p> <p>09/09/2018 Although the ten-point plan has been put into operation and there is a Financial Imperative Plan with resources allocated to drive it forward, spend pressures on the Council, notably in Children's Services, means that further proposals for change are needed in the current financial year. These will be considered by the Cabinet on 12 September, but even if agreed, there is a challenge in delivering them, hence this score cannot be reduced at this time.</p> <p>10/09/2018 At the end of 2017/18 about 57% (£11.1m) of the planned (£19.5m) savings were delivered; there were particular challenges around three of the larger proposals for which only 41% was delivered and this impacted the overall success rate. For 2018/19 a more robust review process is in place and currently around 80% of proposals are on track to be successfully delivered.</p> <p><b>Consequence:</b> A balanced budget has been set for</p>	<p>Likelihood :5 Impact :5</p> <p style="background-color: red; color: white; text-align: center;">25</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>◦ <b>Heightened budget monitoring on those services showing budget overspend</b> Part of the 10 point plan, in progress; significant detailed work on children's services budget underway in Aug/Sept 2018 to revise the base budget for 2018/19 <i>In Progress (80% complete)</i></li> <li>◦ <b>Cabinet receive monthly budget monitoring updates</b> Part of the 10 point plan, in progress; reports are delivered, but are showing increasing pressure on the budget 2018/19. On 11th sept it was agreed that Scrutiny committee for policies &amp; Place would also receive monthly monitoring reports. <i>In Progress (90% complete)</i></li> <li>◦ <b>Review of the earmarked reserves to establish if any of those could be rescinded and returned to general reserves</b> Part of the 10 point plan, in progress; review has been undertaken by Dir. Finance and latest situation is reported in Sept Cabinet report. Monitoring of reserves will be ongoing <i>In Progress (90% complete)</i></li> <li>◦ <b>Development &amp; approval of MTFP 2019/2020 - ensure necessary resources are in place to meet key priorities</b> Part of the 10 point plan, in progress; reassessment of MTFP considered by Council in Feb 2018 is underway. reports to Cabinet scheduled for Oct 2018 <i>In Progress (25% complete)</i></li> </ul>	<p><b>Peter J Lewis</b> 13/10/2018 31/12/2018</p> <p><b>Peter J Lewis</b> 12/10/2018 31/12/2018</p> <p><b>Peter J Lewis</b> 12/10/2018 31/12/2018</p> <p><b>Peter J Lewis</b> 13/10/2018 31/12/2018</p>	<p>Likelihood :5 Impact :5</p> <p style="background-color: red; color: white; text-align: center;">25</p> <p>Red - V. High Risk</p>	<p>Likelihood :4 Impact :5</p> <p style="background-color: red; color: white; text-align: center;">20</p> <p>Red - V. High Risk</p>	<p>09/09/2018 Although the ten-point plan has been put into operation and there is a Financial Imperative Plan with resources allocated to drive it forward, spend pressures on the Council, notably in Children's Services, means that further proposals for change are needed in the current financial year. These will be considered by the Cabinet on 12 September, but even if agreed, there is a challenge in delivering them, hence this score cannot be reduced at this time.</p> <p>10/09/2018 At the end of 2017/18 about 57% (£11.1m) of the planned (£19.5m) savings were delivered; there were particular challenges around three of the larger proposals for which only 41% was delivered and this impacted the overall success rate. For 2018/19 a more robust review process is in place and currently around 80% of proposals are on track to be successfully delivered.</p>

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		<p>2018/19 but there is considerable risk that not all savings will be achieved and overspends may exceed contingency and reserves. There is a set of actions to keep this in check. Risk that we don't have a short and medium term financial plan for SCC.</p>		<ul style="list-style-type: none"> <li> <p>◦ <b>Better establishment control in SAP</b> 06/08/2018 review by R Ellins: Training materials have been prepared for all MSS managers and will be released in August. A data cleanse has taken place with all managers of vacant to enable unrequired positions to be deleted and explanations sought for those that are to remain open. further analysis of position information and post holder variances are also being cleansed during the Summer. HR Admin and Payroll, resourcing services, HR Advisory and Finance have all met to discuss better process to manage the SAP structure against the Finance budget and further meetings will be held in late summer. There is full support form all services to align all process relating to the organisational staffing position. <i>In Progress (80% complete)</i></p> </li> <li> <p>◦ <b>Control on Agency Spend</b> 20/08/2018: Interim process of sign-off, Reed contract resourcing team relocated, On track <i>In Progress (40% complete)</i></p> </li> <li> <p>◦ <b>focussing on contract spend in all areas but specifically in Children's services</b> Part of the 10 point plan, in progress <i>In Progress (10% complete)</i></p> </li> <li> <p>◦ <b>Short term financial intervention</b>  <i>In Progress (10% complete)</i></p> </li> <li> <p>◦ <b>KLOE leads prioritising activity for quick wins and longer term actions</b>  <i>In Progress (10% complete)</i></p> </li> </ul>	<p><b>Chris Squire</b> 15/10/2018 31/10/2018</p> <p><b>Chris Squire</b> 20/09/2018 29/03/2019</p> <p><b>Simon Clifford 2</b> 12/09/2018 29/03/2019</p> <p><b>Peter J Lewis</b> 13/10/2018</p> <p><b>Daniel Forgham-Healey</b> 13/10/2018</p>			

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			<ul style="list-style-type: none"> <li><b>FIT to plan for 2019/20</b> FIT to review the impact on, and plan for the 2019/20 financial year. <i>In Progress (10% complete)</i></li> </ul>	<b>Peter J Lewis</b> 29/10/2018 31/12/2018			
<b>ORG0022</b>	<p><b>Risk Description:</b> Strategic Risk 2018 update: ICT: Unintentional events, including changes to our IT system, or intentional attempts that damage our systems, property, reputation or one of our other resources.</p> <p><b>Cause:</b> delayed implementation of ATP, lack of a Disaster Recovery Plan along with an out of date Corporate Business Continuity Plan</p> <p><b>Consequence:</b> The effect of this is to leave us with a lower level of security and increased vulnerability to malicious attacks by third parties on our IT systems.</p>	<p>Likelihood :5 Impact :5</p> <p>25</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li><b>Increase awareness &amp; understanding within SCC around suspicious or unsolicited email with attachments &amp; website file downloads</b> 05092018 - investigate free &amp; open source anti phishing software to increase awareness with staff <i>In Progress (10% complete)</i></li> </ul>	<b>Dave Littlewood</b> 14/01/2019	<p>Likelihood :4 Impact :4</p> <p>16</p> <p>Red - V. High Risk</p>	<p>Likelihood :2 Impact :2</p> <p>4</p> <p>Green - Low Risk</p>	28/08/2018 risks continue with MTFP challenges. Awareness and beginnings of plan in place re continuity
<b>ORG0011</b>	<p><b>Risk Description:</b> Strategic Risk 2016: Health &amp; Safety: Death or injury to a member(s) of the public or a member(s) of staff, volunteers, visiting contractors or service users</p> <p><b>Cause:</b> Failure to manage our activities, assets, premises and contracts in compliance with our statutory duties and organisational policies in respect of Health &amp; Safety, either directly, or indirectly through our strategic partners</p> <p><b>Consequence:</b> 1. Death or serious harm ("dangerous</p>	<p>Likelihood :5 Impact :5</p> <p>25</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li><b>Ensure visibility of appropriate health and safety-related contract management activity in relation to key contracts</b> 10/04/2017: This has now been published and the HSPSG will be informed at the April 2017 Meeting. By GLH <i>In Progress (20% complete)</i></li> </ul>	<b>Carly Wedderburn</b> 03/10/2018 12/11/2018	<p>Likelihood :3 Impact :5</p> <p>15</p> <p>Amber - High Risk</p>	<p>Likelihood :3 Impact :5</p> <p>15</p> <p>Amber - High Risk</p>	13/08/2018 Risk score remains unchanged at this time. This risk has not been reviewed for some time. It was only assigned to me in August 2018. P Hewitt, 13/08/18

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		occurrence" (defined by legislation)) to a service user, pupil, member of the public or a member of staff; 2. Criminal prosecution and enforcement action under H&S / Fire / Corporate Manslaughter legislation. 3. Civil Claims and/or personal litigation claims for negligence 4. Adverse publicity and damage to reputation for the Council 5. Increased audit inspection 6. Increased costs and financial penalties		<ul style="list-style-type: none"> <li>o <b>Create common processes so staff can be interchanged across County</b> 25/10/2017 - nothing has changed to the status below as the FM review is ongoing 20/12/2017 - Review due to complete in May 2018, no change to status. 21/05/2018 - Review complete - associated changes due to be implemented with effect from 1st September 2018. 04/09/2018 - Taunton restructure implemented 30/08/18 Business Support functions due to move with effect from 1 November. Processes to be produced for remaining FM tasks. <i>In Progress (50% complete)</i></li> </ul>	Heidi Boyle 04/12/2018 28/02/2019			
	<b>ORG0009</b>  <b>Risk Owner:</b> Julian Wooster  <b>Next Risk Review Date:</b> 30/11/2018	<p><b>Risk Description:</b> Strategic Risk 2016: Safeguarding Children: We fail to deliver our statutory service delivery duties and legal obligations in relation to vulnerable children.</p> <p><b>Cause:</b> Systemic leadership, financial constraints and management challenges</p> <p><b>Consequence:</b> Possible abuse, injury or loss of life to a vulnerable child caused by service failure. Reduced public confidence; emergency measures; increased inspection; personal litigation claims; negative publicity for both the Council and partners; possible financial penalty or service is removed from Council control.</p>	<p>Likelihood :4 Impact :5</p> <p style="text-align: center;"><b>20</b></p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>o <b>CYPP 7 Improvement Programmes</b> Review: The Children's Trust Executive are pleased with the progress against the 7 Improvement Programmes, but recognise there is still much work to be done. Action plans for 2017/18 have been drawn up with a focus on a stepped improvement over this second year to ensure year 3 achieves the outcomes of the CYPP in 2019 <i>In Progress (35% complete)</i></li> </ul>	Adrienne Parry 30/11/2018 31/03/2019	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;"><b>15</b></p> <p>Amber - High Risk</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;"><b>15</b></p> <p>Amber - High Risk</p>	13/09/2018 Reduced resources and increased demand may mean that Children's needs are not identified at the earliest point. Some children's needs may therefore not be identified at all and others may only be identified when risks have reached a high threshold. This may mean that some children will live in unsafe situations. Other children, having been identified late will require high cost interventions over a sustained period which earlier intervention could have prevented.

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	<b>ORG0040</b>	<p><b>Risk Description:</b> Strategic Risk 2015: Benefit Realisation: Failure to deliver service transformation (financial and non-financial benefits), and necessary cost savings, performance improvements, and legislative changes requiring significant service re-design through our Core Council Programme.</p> <p><b>Cause:</b> Transformation not considered a corporate priority with funding and resources not prioritised to this area. A lack of joint commissioning priorities to identify innovative ideas for future transformational change and a lack of collaboration between SCC services and partners.</p> <p><b>Consequence:</b> Inability to balance the budget, reputational damage and fines through a failure to meet legislative change, stagnation or deterioration in performance impacting on the service we provide to our customers (including some of the most vulnerable people in the community).</p>	<p>Likelihood :5 Impact :5</p> <p style="text-align: center;"><b>25</b></p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>◦ <b>Review need for Business Case refresher training during service planning</b> 14/08/17 - Business Cases being used to track 5 high-spend corporate priority areas. <i>In Progress (75% complete)</i></li> <li>◦ <b>Collaboration between Services and provision of specialist knowledge to the Core Council Programme projects/programmes</b> 14/08/17 - SME forum has developed into the Corporate Support Services Network (CSSN) and links to commissioning and corporate planning have been strengthened. Looking at Support Service needs across all planning and commissioning activity. <i>In Progress (75% complete)</i></li> </ul>	<p><b>Daniel Forgham-Healey</b> 14/02/2018 14/08/2018</p> <p><b>Daniel Forgham-Healey</b> 14/08/2018 14/08/2018</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;"><b>15</b></p> <p>Amber - High Risk</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;"><b>15</b></p> <p>Amber - High Risk</p>	<p>14/08/2017 14-08-17 - The increased scope and scale of transformation activity threatens to spread resources too thin across too many corporate priorities.</p>

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	<p><b>ORG0007</b></p> <p><b>Risk Owner:</b> Paula Hewitt</p> <p><b>Next Risk Review Date:</b> 28/11/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2014: Business Continuity: Short or long-term service disruption may occur</p> <p><b>Cause:</b> [because of] Lack of formal arrangements in place or being finalised that enable managers to review risks in the planning for business continuity</p> <p><b>Consequence:</b> [resulting in] Major disruptive challenge to service provision and unplanned costs.</p>	<p>Likelihood :3 Impact :5</p> <p style="background-color: orange; text-align: center; color: white;">15</p> <p>Amber - High Risk</p>	<p>o <b>Annual update of SCC Corporate Business Continuity Plan</b> Revise the SCC Corporate Business Continuity Plan annually or following an activation of the corporate level arrangements. Plan was last updated and re-issued in January 2017 then again in October 2017 to reflect changes in corporate structure. Next routine update is underway and includes a refresh of the business impact analysis to reflect changes to the SCC IT. This is being informed by learning from Exercise Long Reach (17/4/2018) <i>In Progress (75% complete)</i></p>	<p><b>Nicola Dawson</b> <a href="#">16/10/2018</a> 31/10/2018</p>	<p>Likelihood :3 Impact :4</p> <p style="background-color: yellow; text-align: center;">12</p> <p>Yellow - Medium Risk</p>	<p>Likelihood :3 Impact :4</p> <p style="background-color: yellow; text-align: center;">12</p> <p>Yellow - Medium Risk</p>	<p>28/08/2018 Review undertaken. Some actions need to be updated. Risk score remains unchanged. P Hewitt 28/08/18</p>

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				<p>o <b>Corporate Business Continuity Plan for SCC</b>                      It has been agreed that rather than develop a Business Continuity Plan for SCC that we would look to develop a countywide Business Continuity Plan in conjunction with the District Councils with whom we share premises. The timeline for this project will be re-baselined. A Local Authorities partnership meeting which is scheduled to be held at Sedgemoor: Bridgwater House on 4 Nov 1000- 1300hrs. The project plan and timeline will be developed at that meeting.                      A meeting took place with the District Councils on the 4th Nov 2015. there was little enthusiasm for a joint approach. Agreed to meet with them individually to see if we can find a way forward.                      Meetings arranged with District Council to individually discuss shared contingency arrangements.                      Meetings have taken place with TDBC, SDC and MDC. Meeting scheduled with SSDC. A meeting has now taken place with SSDC. A set of agreed principles based on mutual support will now be created and agreed.                      A draft Business Continuity Plan has been developed. This will now be shared for final comments with the other Councils.                      Responsibility for FM has transferred to Property Services so the Action Owner has changed to Claire Lovett, Head of Property</p> <p>5/4/18                      A draft document has been produced after discussions with all the District Councils. The completed document was reviewed internally by the Civil Contingencies Team</p>	<p>Claire Lovett 19/11/2018</p>			

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				<p>who confirmed it was fit for purpose, although others have sought more detail. A further internal meeting is therefore taking place on 23 April to review it again.                      CLL  <i>In Progress (30% complete)</i></p> <ul style="list-style-type: none"> <li> <p>◦ <b>Business Continuity Steering Group</b>                              Hold regular meetings of the Business Continuity Steering Group. Membership includes SCC service representatives and colleagues from the District Councils. Purpose of the Steering Group is to embed and promote effective business continuity arrangements throughout the local authorities and contracted services. In 2018/19 meetings are scheduled for July, autumn 2018 and spring 2019.  <i>In Progress (30% complete)</i></p> </li> <li> <p>◦ <b>Annual Corporate Business Continuity Exercise</b>                              Hold a table-top exercise in spring 2019 to test the SCC Corporate Business Continuity Plan and the supporting service level plans. Invite SCC services and district councils to participate. Build on the lessons identified in Ex Viral Crisis (March 2017) and Exercise Long Reach (April 2018)  <i>In Progress (10% complete)</i></p> </li> </ul>	<p><b>Nicola Dawson</b>  <a href="#">14/10/2018</a>                      31/03/2019</p> <p><b>Nicola Dawson</b>  <a href="#">10/12/2018</a>                      31/03/2019</p>			





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<p><b>ORG0032</b></p> <p><b>Risk Owner:</b> Simon Clifford 2</p> <p><b>Next Risk Review Date:</b> 30/12/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2017: Information Governance: An event occurs that results in a statutory breach of data protection legislation. This could be an ICT security vulnerability that compromises the PSN network, a significant disclosure of sensitive personal data or another procedural breach of the EU GDPR.</p> <p><b>Cause:</b> An intentional exploitation of a security vulnerability in the SCC network by hostile agents such as hackers or malware. Non-compliance with the articles and recitals in the EU GDPR in 2018. A significant unintentional data breach of sensitive personal or business data in email, post, fax by an employee, contractor, service provider or an SCC Councillor.</p> <p><b>Consequence:</b> The Council is exposed to fraud, loss of reputation, legal action by clients or employees and / or the possibility of fines from the Information Commissioner's Office (currently estimated at £100k - £200k but potentially much higher in 2018). Members of the Public are exposed to harm or distress due to the significant unauthorised disclosure of personal data.</p>	<p>Likelihood :5 Impact :4</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">20</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>◦ <b>Publication of EUGDPR Privacy Notice</b> The EU-GDPR requires the publication of a comprehensive Privacy Notice detailing the services provided, the personal data processed, the sharing agreements, the retention periods and access arrangements for data subjects <i>In Progress (70% complete)</i></li>   <li>◦ <b>Induction and Refresher training for Information Security and Data Protection</b> The EU-GDPR requires that all employees are fully aware of their responsibilities for processing personal data. SCC will endeavour to ensure all new employees are trained in Information Security and Data Protection within 3 months of commencing employment. <i>In Progress (95% complete)</i></li>   <li>◦ <b>Publication and distribution of EU-GDPR policies to all employees</b> The EU-GDPR requires that all employees are made aware of SCC policy for processing personal data. SCC will endeavour to ensure all employees have received mandatory Information Security and Data Protection, by Metacompliance, prior to the adoption of the EUGDPR in may 2018. <i>In Progress (70% complete)</i></li>   <li>◦ <b>Information Sharing Agreements and Contracts</b> Somerset County Council will review and implement all current Information Sharing Agreements and contracts in compliance with the EU-GDPR <i>In Progress (50% complete)</i></li> </ul>	<p><b>Lucy Wilkins</b> <a href="#">28/09/2018</a> 28/09/2018</p> <p><b>Lucy Wilkins</b> <a href="#">07/10/2018</a> 08/10/2018</p> <p><b>Lucy Wilkins</b> <a href="#">07/10/2018</a> 10/10/2018</p>	<p>Likelihood :3 Impact :4</p> <p style="background-color: yellow; text-align: center; padding: 2px;">12</p> <p>Yellow - Medium Risk</p>	<p>Likelihood :3 Impact :4</p> <p style="background-color: yellow; text-align: center; padding: 2px;">12</p> <p>Yellow - Medium Risk</p>	<p>14/06/2018 14.06.18 - The Data Protection act (2018) has been passed including the provisions for meeting the EU-GDPR</p> <p>The implementation project has gone well leaving only two areas of risk that are being addressed. The Processing of Data Subject Access Requests (DSARs) and the provision of an effective Information Asset Register to reflect our obligations for Records of Processing Activity.</p>

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				<ul style="list-style-type: none"> <li>◦ <b>Information Asset register</b>                      Creation of a comprehensive Information Asset Register to enable SCC to identify where personal data is held, who is responsible for it and any risks associated with processing; Major deferral to allow Microsoft to implement the IAR  <i>In Progress (15% complete)</i></li>   <li>◦ <b>Effective management of Data Subjects rights</b>                      SCC must ensure that all data subjects rights are respected with regard to lawful and fair processing and specifically access to records and DSAR processing  <i>In Progress (25% complete)</i></li> </ul>	<p><b>Lucy Wilkins</b>  <a href="#">31/12/2018</a>                      31/12/2018</p> <p><b>Lucy Wilkins</b>  <a href="#">31/12/2018</a>                      31/12/2018</p>			

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	<p><b>ORG0010</b></p> <p><b>Risk Owner:</b> Stephen Chandler</p> <p><b>Next Risk Review Date:</b> 08/11/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2016: Safeguarding Adults: We fail to deliver our statutory safeguarding activity in relation to adults</p> <p><b>Cause:</b> there is a risk that death or injury to a vulnerable member of the public or a member of staff, where the county council has not completely fulfilled its responsibilities may occur</p> <p><b>Consequence:</b> leading to increased audit inspections, personal litigation claims, adverse publicity for the council and possible financial penalties</p>	<p>Likelihood :3 Impact :5</p> <p style="text-align: center;"><b>15</b></p> <p>Amber - High Risk</p>			<p>Likelihood :3 Impact :4</p> <p style="text-align: center;"><b>12</b></p> <p>Yellow - Medium Risk</p>	<p>Likelihood :3 Impact :4</p> <p style="text-align: center;"><b>12</b></p> <p>Yellow - Medium Risk</p>	<p>08/08/2018 Performance within our dedicated Safeguarding Service continues to be closely monitored and informed by learning from audits. Further work planned to enhance Making Safeguarding Personal approach, with support from the Somerset Safeguarding Adults Board. The team has also undertaken CPD events across the 4 locality areas to enhance practitioner safeguarding awareness. During June 2018, 99% of safeguarding pathway decisions were made within the target 2 working days. The Safeguarding Adults Board will formally present its Annual Report 2017/18 to Scrutiny in Sept 2018, which includes learning to emerge from any statutory Safeguarding Adults Reviews undertaken during the year.</p>

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<p><b>ORG0024</b></p> <p><b>Risk Owner:</b> Simon Clifford 2</p> <p><b>Next Risk Review Date:</b> 28/12/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2011: Operations: Quality of contract management is inconsistent and fails to meet our customers expectations</p> <p><b>Cause:</b></p> <p><b>Consequence:</b> Loss of customer confidence and trust in the Council, impacting on the reputation of the council</p>	<p>Likelihood :4 Impact :4</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">16</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>Putting in place effective contract management at a senior level throughout the Council Update 25/06: Greater commercial awareness cascaded through organisation. Establishing greater clarity between day - to -day Contract Management via operations and Commercial management delivered via procurement team. as part of SWAP Audit <i>In Progress (40% complete)</i></li> <li>Ensure adequate management information and reporting is in place to monitor quality through the Business Intelligence Function  <i>In Progress (80% complete)</i></li> </ul>	<p><b>Simon Clifford 2</b> 10/03/2019 17/12/2018</p> <p><b>Malc Riches</b> 09/10/2018</p>	<p>Likelihood :3 Impact :4</p> <p style="background-color: yellow; text-align: center; padding: 2px;">12</p> <p>Yellow - Medium Risk</p>	<p>Likelihood :3 Impact :3</p> <p style="background-color: yellow; text-align: center; padding: 2px;">9</p> <p>Yellow - Medium Risk</p>	<p>28/08/2018 new appetite for support in our biggest risk area of children's contracts</p>
<p><b>ORG0001</b></p> <p><b>Risk Owner:</b> Paula Hewitt</p> <p><b>Next Risk Review Date:</b> 28/11/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2014: Civil Emergencies: A major civil emergency results in loss of life and major disruption to services</p> <p><b>Cause:</b> we do not adequately plan for civil emergencies including the testing of plans and prioritisation of our resources,</p> <p><b>Consequence:</b> impact on Somerset County Council's reputation and standing locally and Nationally</p>	<p>Likelihood :4 Impact :5</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">20</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>Deliver phase one of the SLACCP Training and Exercise Policy At the July 2017 SLACCP meeting, all six authorities signed off a SLACCP Training and Exercising Strategy. This will deliver a consistent and sustainable rolling programme of role and capability based training. It will make full use of IT eg e-learning, webinars etc as well as face to face training and exercises. First phase will be e-learning packages for the key emergency roles outlines in the Corporate Emergency Response and Recovery Plan. The contents of the training packages has been drafted and the e-learning is under preparation. Roll out has been rescheduled due to staff changes but now on course for autumn 2018. <i>In Progress (50% complete)</i></li> </ul>	<p><b>Nicola Dawson</b> 10/12/2018 31/12/2018</p>	<p>Likelihood :2 Impact :5</p> <p style="background-color: orange; text-align: center; padding: 2px;">10</p> <p>Amber - High Risk</p>	<p>Likelihood :2 Impact :5</p> <p style="background-color: orange; text-align: center; padding: 2px;">10</p> <p>Amber - High Risk</p>	<p>28/08/2018 Risk score remains unchanged. P Hewitt 28/08/18</p>

	Risk Ref	Risk	Uncontrolled Risk	Action Required (In progress Only)	Control Owner Review Date Target Date	Current Risk Score	Controlled Risk Assessment for Financial Year	Comments
				<ul style="list-style-type: none"> <li>◦ <b>Deliver an annual programme of resilience activities.</b> Deliver an annual resilience work programme for all six Somerset local authorities including development of capabilities, plans and procedures for emergency planning, preparation, response and recovery. Delivery of the programme to be steered and monitored by the Somerset Resilience Board which meets three times a year (June, September and February). <i>In Progress (10% complete)</i></li> </ul>	<p><b>Nicola Dawson</b> 05/12/2018 31/03/2019</p>			
	<p><b>ORG0042</b></p> <p><b>Risk Owner:</b> Chris Squire</p> <p><b>Next Risk Review Date:</b> 22/10/2018</p>	<p><b>Risk Description:</b> Strategic Risk 2015: HR: The risk of not having the employee capacity to deliver and support delivery of core front line services</p> <p><b>Cause:</b> Combination of austerity measures and market forces in being able to attract suitably qualified people to work for the Council</p> <p><b>Consequence:</b> Reduced levels of service activity, more reliance on existing employees and possible issues with consistency on quality.</p>	<p>Likelihood :4 Impact :4</p> <p style="background-color: red; color: white; text-align: center; padding: 2px;">16</p> <p>Red - V. High Risk</p>	<ul style="list-style-type: none"> <li>◦ <b>Closely monitored operationally &amp; at Programme Improvement Boards</b> Reviewed 26/10/2017: Dashboard in place at corporate &amp; service level. Establishment control in place. Complete <i>In Progress</i></li> <li>◦ <b>Social Worker degree course starting at Yeovil College 2019</b> <i>In Progress (10% complete)</i></li> </ul>	<p><b>Chris Squire</b></p> <p><b>Chris Squire</b> 22/10/2018</p>	<p>Likelihood :3 Impact :3</p> <p style="background-color: yellow; text-align: center; padding: 2px;">9</p> <p>Yellow - Medium Risk</p>	<p>Likelihood :3 Impact :3</p> <p style="background-color: yellow; text-align: center; padding: 2px;">9</p> <p>Yellow - Medium Risk</p>	<p>12/07/2018 POsition remains similar July 2018. Vacancy controls are in place with an exempt list for critical posts, but there remains a strong risk of not being able to attract high quality candidates due to the publicity around financial problems.</p>

Report Selection Criteria

Status Flag=ACTIVE - Business Unit Code=ORG - ISNULL(Project Code)